

IAP13 Rec'd PCT/PTO 04 JAN 2007

PATENT  
930086-2015

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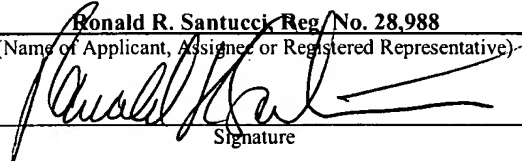
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Beyong-Hwan RYU et al.  
Serial No. : 10/551,484  
For : METHOD OF PREPARING CARBON NANOTUBE FROM  
LIQUID PHASED CARBON SOURCE  
Intl. Application No. : PCT/KR04/00745  
Intl. Filing Date : March 31, 2004  
Priority Date : April 2, 2003  
Examiner : Not Yet Assigned  
Group Art Unit : Not Yet Assigned  
Confirmation No. : 4123

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 28, 2006.

Ronald R. Santucci, Reg. No. 28,988  
(Name of Applicant, Assignee or Registered Representative)

  
Signature

December 28, 2006

Date of Signature

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35  
U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the **Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (Do/Eo/Us)** mailed September 28 3, 2006 for the above referenced patent application, Applicant encloses the following:

- a.) a copy of the Notice to File Missing Parts;
- b.) a fully executed declaration;
- c.) an executed assignment document for this application along with a recordation cover sheet; and
- d.) a check in the amount of \$165.00 which includes the surcharge for late filing of the declaration by a small entity (\$65), the fee for the recordation of the assignment document (\$40) and the fee for a one month Petition for Extension of Time (\$60).

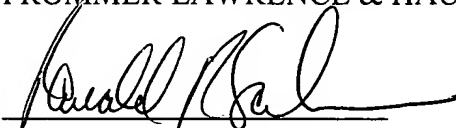
The Commissioner is authorized to charge any additional fee that may be required to

Deposit Account No. 50-0320.

01/08/2007 ATRAN1 00000027 10551484  
01 FC:2617 65.00 OP  
01/08/2007 ATRAN1 00000027 10551484  
02 FC:2251 60.00 OP

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

  
Ronald R. Santucci  
Reg. No. 28,988  
(212) 588-0800



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,484	Beyong-Hwan Ryu	930086.2015

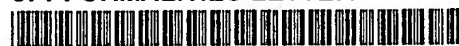
INTERNATIONAL APPLICATION NO.	
PCT/KR04/00745	
I.A. FILING DATE	PRIORITY DATE
03/31/2004	04/02/2003

Ronald R Santucci  
Frommer Lawrence & Haug  
745 Fifth Avenue  
New York, NY 10151

DOCKETED

CONFIRMATION NO. 4123

371 FORMALITIES LETTER



\*OC000000020633706\*

Date Mailed: 09/28/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/29/2005
- Copy of the International Search Report filed on 09/29/2005
- Copy of IPE Report filed on 09/29/2005
- Preliminary Amendments filed on 09/29/2005
- Information Disclosure Statements filed on 09/29/2005
- Oath or Declaration filed on 09/29/2005
- U.S. Basic National Fees filed on 09/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

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FROMMER, LAWRENCE  
& HAUG LLP

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,484	PCT/KR04/00745	930086.2015

FORM PCT/DO/EO/905 (371 Formalities Notice)